Charter for Committee on Research Security and International Collaborations

Committee Membership   *Term appointment: 2/3 yrs.*
Co-Chair: Deepika Bhatia, Associate Vice President for Research Compliance   Ex-officio
Co-Chair: Pearl Dowe, Vice Provost of Faculty Affairs   Ex-officio

Member Name	Office	Term
Adams, Jill	Oxford College	Appointed: Dec 2020
Allen, Susan	Rollins School of Public Health	Appointed: Dec 2020
Ali, Mohammed	Dept of Epidemiology	Appointed: Dec 2020
~~Blakely, Sandra~~	Dept of Classics	Appointed: Dec 2020
Corbett, Anita	College of Arts and Sciences	Appointed: Dec 2020
Chapes, Farah	School of Medicine	Ex-Officio
Dyer, Brian	Dept of Chemistry	Appointed: Dec 2020
Fu, Haian	School of Medicine	Appointed: Dec 2020
Jin, Peng	Dept of Human Genetics	Appointed: Dec 2020
Waldrop, Drenna	School of Nursing	Appointed: Dec 2020
Ko, Shinsaeng (Shinn)	Int'l Students and Scholar Svcs	Ex-Officio
Lawley, John	Office of Compliance	Ex-Officio
Lesser, Jeffery	College of Arts and Sciences	Appointed: Dec 2020
Ndegwa, Rose	Export Control	Ex-Officio
Overcash, Marc	Chief Information Officer	Ex-Officio
Ramalingam, Suresh	School of Medicine	Appointed: Dec 2020
Rhee, Mina	Office of General Counsel	Ex-Officio
Sanford, Brad	Office of Information Technology	Ex-Officio
~~Sefilat Ajishafe~~	Conflict of Interest	Ex-Officio
Sommers, Holly	Office of Sponsored Programs	Ex-Officio
David Sundvall	Research Security	Ex-Officio
Wainwright, Philip	Global Strategy and Initiatives	Ex-Officio

Background

Emory is recognized globally for its ground-breaking research in all areas of inquiry. Our success frequently is the result of research collaborations, both domestic and international. These collaborations are strongly encouraged because they are essential to our scholarly mission.

Such success can invite challenges in terms of our research security, with Emory potentially being targeted by individuals or entities seeking to leverage or misappropriate Emory's research portfolio, intellectual property, and other assets. Emory is not alone in this landscape: in the competitive international science and technology arena, all institutions of higher education are being called on to help protect the U.S. science and technology through responsible and careful stewardship. That means updating our internal policies and processes related to research security while emphasizing the value of a global workforce, international scientific collaborations, and academic freedom. Aside from simply being the right thing to do, the downside consequences of failing to protect our research responsibly could be significant, including federal debarment, fines and penalties, and potential reputational harm to Emory.

Mission

The Committee's core mission will be to inform the development of a university-wide program to mitigate risks to our research security while ensuring Emory continues to pursue research in partnership with other institutions domestically and abroad. The Committee must harmonize recommendations developed by Task Forces, consultants, and other sources as well as provide advice on administrative units' plans to operationalize these recommendations.

Guiding Principles

Emory University must continue to actively encourage robust international collaboration. Consequently, the Committee will need to balance processes that promote collaboration with needed precautions. The following five principles should guide the Committee's work:

1. Empower faculty to pursue international collaborations with confidence
2. With rare exception, our faculty and students form new and productive partnerships acting in good faith with no intent to violate policies, regulations, or laws
3. Emphasize awareness and broad communication activities
4. Limit additional administrative burdens placed on faculty
5. Make requirements and regulations easy to understand by using plain language

Areas of Concern

We expect the Committee to review recommendations, best practices, federal guidance, and processes to develop a coordinated program that spans the following areas of concern:

1. Conflicts of Interest and Commitment: Ensuring that COI and COC policies and procedures balance the need for academic freedom and pursuit of appropriately managed external activities while protecting against competing interests and commitments.
2. Visitors on Campus: Identifying and establishing procedures and security checks to ensure a uniform process for internal notification, processing and approval for all visiting scholars, high-level international visitors, or delegations from foreign governments.
3. International Collaboration: Concerns triggered by collaboration with international entities in the course of global research and other endeavors.
4. Sponsored Research: Pre-and post-award processes to account for research security and export control concerns and appropriate disclosure of affiliations and support, foreign components, and compliance with evolving sponsor notification requirements.
5. Intellectual Property Transfer/ Commercialization: IP generation, technology transfer, material and data transfers, and business engagement activities with foreign entities.
6. Gifts and Other Forms of Support: Influence exerted through the provision of gifts and other forms of support from international entities.
7. International Travel: Establishing policy and processes for individuals travelling to certain locations or with sensitive research that address travel briefings, safety protocols, and physical and information security.
8. Information Technology: Leveraging and protecting IT systems, teams, data, and resources from intrusion.
9. Compliance with Export Controls: Aligning export control processes to account for and support foreign influence prevention strategies.
10. Biologics and Health Sciences: Addressing security and federal concerns unique to health sciences research.